



Ethics Approved Appeal Form

Please Print: To be completed by the person making the appeal.

Date	
Your Name	
Street Address	
City, State, Zip	
Phone/Email	

Ethics Approved Appeal Process

The Appeal Form must be submitted within 30 days of the receipt of the Ethics Approved decision with as much detail as possible. Attach any supporting documentation for the Ethics Committee to consider. Member will be notified by phone within 5 business days of receipt. The Ethics Committee may request additional information during the review process. Be assured the Appeal will be investigated vigorously and impartially. The entire process could take 45 – 60 days after the receipt of the Appeal Form and any supporting documentation.

Appeal Details: (attach any supporting documentation)

Important Information on Back of Form



I understand that:

- Once the Appeal Form is received by the Ethics Committee Liaison, I can expect a written response in approximately 45-60 days.
- The Appeal must include a \$50 non-refundable fee and any documentation that I feel will assist the Ethics Committee in their review.
- Upon receipt of the material, the Ethics Committee Liaison will forward all documentation to the Ethics Committee.
- Decisions by the Ethics Committee are final and are not subject to further appellate review.

Signature of person making the Appeal

Date

Remit Payment \$50 Fee via:

Check: Payable to the International Association of Registered Financial Consultants (IARFC®)

Credit Card: _____

Card Number

Expiration Date

Security Code

Fax, email or mail the completed Appeal Form and any supporting documentation to:

Fax: (513) 345-9479

Email: ethics@iarfc.org

Mail:

IARFC

Attention: Ethics Committee Liaison

P.O. Box 506

Middletown, OH 45042-0506